

Fact Sheet on Identification of Materials for REACH

1. Introduction

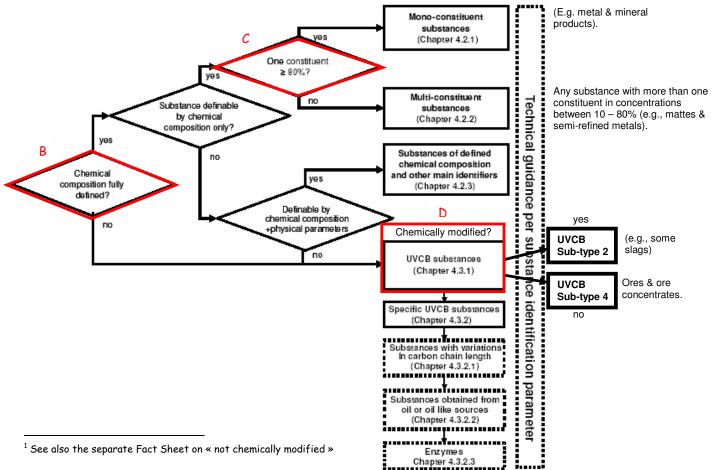
Correct identification of a substance within the context of REACH is necessary for;

- Pre-Registration [as per Annex VI Sections 2 to 2.1.5]
- Light Registration as an intermediate [as per Annex VI Sections 2 to 2.3.4]
- Full Registration [as per Annex VI Sections 2 to 2.3.7]
- Notification of Substances in Articles [as per Annex VI Sections 2 to 2.3.4]
- Reporting separate Chemical Safety Reports for a Substance [as per Annex VI Sections 2 to 2.3.4]
- Inquiring about the identity of "new" substances [as per Annex VI Sections 2 to 2.3.7]
- Notification for Classification & Labelling [as per Annex VI Sections 2 to 2.3.4]
- Applying for Authorisation of use(s) [as per Annex VI Sections 2 to 2.3.7]

2. Key Questions

When identifying inorganic materials in the metals sector, the four key questions to ask are;

- A. Is the material an individual substance or a mixture of substances, i.e. a preparation or special preparation?
- B. Can the chemical composition be fully defined with relevant & accessible data which exist?
- C. Is one constituent substance present in concentrations greater than 80%?
- **D.** Is the material the result of a process-step, which is considered to be a "chemical modification"? If yes, has the process-step changed the chemical structure of the material?¹



3. <u>Definition of Preparation</u>

- Article 3.2: Preparation: means a mixture or solution composed of two or more substances.
- Definition in GHS: "Mixture means a mixture or solution of two or more substances <u>which do not</u> <u>react</u> (Mixture and preparation are synonymous)"
- ECHA Guidance for substance identification and naming: Preparations are <u>intentional mixtures</u> of two or more substances without an intended chemical reaction.
- Special preparation: means a mixture in which the properties of the constituent substances are modulated by their inclusion within the chemical matrix²
- REACH does not explicitly define **minerals**, **ores** & **ore concentrates**. Annex V.7 implies they are all **substances** which occur in nature, i.e. they are substances and not preparations.
- The flowchart on the previous page is only applying to <u>substances</u>

4. Defining Chemical Composition

- For well-defined substances, the following are known; Degree of purity (%), Nature of impurities, including isomers and by-products, Percentage of (significant) main impurities.
- Variability for well defined substances is specified by the upper and lower limit of the concentration range(s) of the main constituent(s).
- If the material cannot be sufficiently identified by the above items, it should be identified as a UVCB. This would be the case, e.g., when the <u>number of constituents</u> is relatively large and/**or** the composition is, to a significant part, unknown and/**or** the <u>variability</u> of composition is relatively large or poorly predictable.

Options	Main Requirements	Pros	Cons
Consider material as Preparation	Chemical Safety Assessment per Component (plus possibly Socio-Economic Assessment for Authorisation per CMR)	Can share costs with manufacturers/importers of the component substances.	Contradicts guidance in RIP3.10 because an <u>intended chemical</u> <u>reaction</u> has taken place.
Consider material as UVCB	Chemical Safety Assessment if not an intermediate	Follows RIP3.10 guidance.	Only possible when the composition is <u>insufficiently known</u> or variability is large.
Consider material as Multi-Constituent Substance	(plus Socio-Economic Assessment for Authorisation if not an intermediate and classified CMR)	Maintains Phase-In status provided all constituents have an EINECS entry.	Requires 100% <u>identification of</u> <u>constituents</u> . Allows less sharing of costs.

Options for Reaction Products with <80% purity

5. The 80% Rule

Multi-constituent substances are distinguished from mono-constituent substances by application of the 80% rule, that indicates that the main constituent needs to be present in ratios of at least 80% (w/w). However, a deviation from this 80% rule can be justified. Possible examples for a justified deviation are:

• If the main constituent is < 80% but the substance can be shown to have similar physicochemical properties and the same hazard profile as other mono-constituent substances with the same identity that fulfil the 80% rule.

² see also EM/EIMAG guidance document on special preparations

• The range of concentrations for the main constituent and the impurities overlap the 80% criterion and the main constituent is only occasionally $\le 80\%$.

6. <u>Chemical Modification or Intended Chemical Reactions</u>

The definition of "not chemically modified" is critical for deciding how many similar materials can be grouped under the same Substance ID for the purposes of REACH. Well-defined multi-constituent substances are generally considered to be reaction products and are therefore normally subject to Registration. UVCBs can either be reaction products or naturally occurring substances, which are exempt from Registration. The separate Fact Sheet on "Not Chemically Modified" suggests which process steps should be considered "chemical modifications" for the purposes of REACH.

7. Describing Composition

Although mineral speciation is more accurate, currently available data is generally limited to chemical species. It should be possible to develop generic Substance IDs for materials in the metals sector by describing the full range of major constituents (chemical), minor constituents (chemical) *and* predominant minerals. e.g.,

Name	Copper concentrate obtained from crushed copper ore by conventional mineral processing
	(e.g., flotation)
Source	Copper ore obtained from natural deposits of of chalcopyrite, bornite,, chalcocite and their oxidised
	zones by mining.
Process	Crushing and flotation of mined copper ore to separate valuable minerals from low-grade materials,
	typically increasing their concentration by a factor of up to one-hundred.
Composition	Typically contains X% Arsenic compounds in the following mineral forms, etc. etc.

Annex VI Substance ID Item		Required for,	Relevance to UVCBs
2.1	Name or other identifier of each substance	All Provisions	To include source and most relevant process steps in generic terms. Processes are identified by the type of refinement step e.g., extraction, fractioning, concentration or the type of chemical reaction. Any concentration step shall be specified together with the generic composition of the resulting substance in comparison to the starting material.
2.1.1	Name(s) in the IUPAC nomenclature		For constituents present in concentrations >10% if available.
2.1.2	Other names (usual name, trade name, abbreviation)		For UVCBs any one (or more) of these alternative
2.1.3	EINECS or ELINCS number		identifiers can be provided.
2.1.4	CAS name and CAS number		
2.1.5	Other identity code		
2.2	Information related to molecular and structural formula	For Classification & Labelling, Full Registration or	Not relevant to inorganic UVCBs

2.3	Composition of each substance	Authorisation	To be provided in a generic way, with typical concentrations and concentration ranges of known & classified constituents.
2.3.1	Degree of purity		Not Relevant to UVCBs
2.3.2	Nature of impurities		
2.3.3	Percentage of (significant) main impurities		
2.3.4	Nature and order of magnitude of any additives		Relevant
2.3.5	Spectral data	Only for Full	Not Relevant to UVCBs
2.3.6	High-pressure liquid	Registration or	
	chromatogram, gas chromatogram	Authorisation	
2.3.7	Description of the analytical methods		